



DECEMBER 10, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

ADLER & ASSOCIATES  
BENJAMIN A. ADLER  
8011 CANDLE LN.  
HOUSTON, TEXAS 77071



\*102222100A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/10/2002

REEL/FRAME: 013285/0464  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

RUNGE, MARSHALL S.

DOC DATE: 07/14/2002

ASSIGNOR:

BALLINGER, SCOTT WEBSTER

DOC DATE: 08/14/2002

ASSIGNOR:

VAN HOUTEN, BENNETT

DOC DATE: 08/28/2002

ASSIGNEE:

RESEARCH DEVELOPMENT FOUNDATION  
402 NORTH DIVISION STREET  
CARSON CITY, NEVADA 89703

SERIAL NUMBER: 09231093  
PATENT NUMBER: 6322974

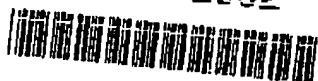
FILING DATE: 01/14/1999  
ISSUE DATE: 11/27/2001

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013285/0464 PAGE 2

LAZENA MARTIN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

09-17-2002



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 U.S. DEPARTMENT OF COMMERCE  
 Patent and Trademark Office

Recordation Form Cover Sheet

9-10-02

To the Honorable Commissioner of Patents and Trademarks. Please record the attached documents.

1. Name of conveying party(ies):  
 Marschall S. Runge  
 Scott Webster Ballinger  
 Bennett Van Houten

2. Name and address of  
 receiving party(ies):  
 Name: Research Development  
 Foundation  
 Address: 402 North Division Street  
 Carson City, NV 89703

Additional names of conveying party(ies) attached?  
☒ NO ☐ YES

3. Nature of Conveyance:  
☒ Assignment ☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ OTHER \_\_\_\_\_

Additional names & addresses attached?  
☒ NO ☐ YES

Execution Date(s): July 14, 2002, August 14, 2002 & August 28, 2002

4. Application number(s) or patent number(s): 09/231,093  
 Additional numbers attached? ☒ NO ☐ YES

5. Name and address of party to whom correspondence concerning  
 document should be mailed:

Name:  
 Dr. Benjamin A. Adler  
 ADLER & ASSOCIATES

Address:  
 8011 Candle Ln.  
 Houston, Texas 77071

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41):.....\$40.00 ☐ enclosed ☒ authorized to charge  
 deposit account

8. Deposit Account number: 07-1185 (duplicate copy attached if paying by deposit account)

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and  
 correct and any attached copy is a true copy of the original document.*

Benjamin Aaron Adler Ph.D., J.D.  
 Name of person signing

Signature

9/4/02  
 Date

Total number of pages comprising cover sheet: 1

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## ASSIGNMENT

WHEREAS, I, **Marschall S. Runge**, of 201 Chimeneas Place, Chapel Hill, NC 27517, (hereinafter collectively called "ASSIGNOR"), am inventor and owner of certain new and useful improvements in *Mitochondrial DNA Damage As A Predictor Of Coronary Atherosclerotic*, United States Letters Patent issued on November 27, 2001 and having U.S. Patent No. 6,322,974.

WHEREAS, the **Research Development Foundation** (hereinafter called "ASSIGNEE") a non-profit organization duly organized and existing under and by virtue of the laws of the state of Nevada, and having a place of business at 402 North Division Street, Carson City, Nevada 89703, is desirous of acquiring and ASSIGNORS are desirous of assigning to ASSIGNEE all right, title and interest in and to said invention and application identified above;

NOW, THEREFORE, ASSIGNOR, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by me, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

And I do further covenant and agree that I will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: 7/14/02Marschall S. Runge

Marschall S. Runge

STATE OF North Carolina:

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COUNTY OF Orange:

Before me this 14 day of July, 2002, personally appeared Marshall A. Renge known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public

*Lois J. Bender*My Commission Expires: 070206

D6179

## ASSIGNMENT

WHEREAS, I, Scott Webster Ballinger, of , (hereinafter collectively called "ASSIGNOR"), am inventor and owner of certain new and useful improvements in *Mitochondrial DNA Damage As A Predictor Of Coronary Atherosclerotic*, United States Letters Patent issued on November 27, 2001 and having U.S. Patent No. 6,322,974.

WHEREAS, the Research Development Foundation (hereinafter called "ASSIGNEE") a non-profit organization duly organized and existing under and by virtue of the laws of the state of Nevada, and having a place of business at 402 North Division Street, Carson City, Nevada 89703, is desirous of acquiring and ASSIGNORS are desirous of assigning to ASSIGNEE all right, title and interest in and to said invention and application identified above;

NOW, THEREFORE, ASSIGNOR, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by me, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

And I do further covenant and agree that I will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: 14 August 02  
\_\_\_\_\_  
Scott Webster Ballinger

STATE OF \_\_\_\_\_:

COUNTY OF \_\_\_\_\_:

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Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2002, personally appeared \_\_\_\_\_  
known to me to be the person whose name is subscribed to the foregoing Assignment and  
acknowledged that he executed the same as his free act and deed for the purposes therein  
contained.

**Notary Public**

**My Commission Expires:**

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D6179

## ASSIGNMENT

WHEREAS, I, **Bennett Van Houten**, of 5 Fox Lair Ct. Durham, NC 27712-3042, (hereinafter collectively called "ASSIGNOR"), am inventor and owner of certain new and useful improvements in *Mitochondrial DNA Damage As A Predictor Of Coronary Atherosclerotic*, United States Letters Patent issued on November 27, 2001 and having U.S. Patent No. 6,322,974.

WHEREAS, the **Research Development Foundation** (hereinafter called "ASSIGNEE") a non-profit organization duly organized and existing under and by virtue of the laws of the state of Nevada, and having a place of business at 402 North Division Street, Carson City, Nevada 89703, is desirous of acquiring and ASSIGNORS are desirous of assigning to ASSIGNEE all right, title and interest in and to said invention and application identified above;

NOW, THEREFORE, ASSIGNOR, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by me, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

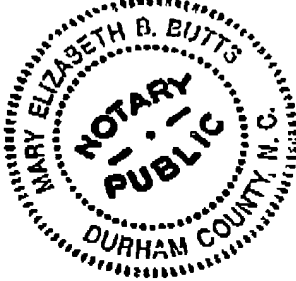
And I do further covenant and agree that I will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.



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DATE: August 28, 2002Bennett Van Houten  
Bennett Van HoutenSTATE OF North CarolinaCOUNTY OF Durham:

Before me this 28 day of August, 2002, personally appeared Bennett Van Houten known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.



Notary Public

Mary Elizabeth B. BijtsMy Commission Expires: 9/24/2005